ADOPTED REGULATION OF THE

PERSONNEL COMMISSION

LCB File No. R065-09

Effective October 27, 2009

EXPLANATION - Matter in *italics* is new; matter in brackets [omitted material] is material to be omitted.

AUTHORITY: §§1 and 2, NRS 284.065, 284.155, 284.355 and 284.407.

A REGULATION relating to the State Personnel System; making information relating to the usage or balance of an employee's annual leave and sick leave confidential; and providing other matters properly relating thereto.

Section 1. NAC 284.718 is hereby amended to read as follows:

- 284.718 1. The following types of information, which are maintained by the Department of Personnel or the personnel office of an agency, are confidential:
- (a) Information relating to salaries paid in other than governmental employment which is furnished to the Department of Personnel on the condition that the source remain confidential;
- (b) Any document which is used by the Department of Personnel or an agency in negotiations with employees or their representatives which has not been made public by mutual agreement;
- (c) The rating and remarks concerning an applicant by the individual members of the board or assessors of a center for assessment;
- (d) Any document which is used by the Department of Personnel or an agency in the process of interviewing an applicant, including, without limitation, a document containing interview questions, evaluation tools used for rating applicants and any notes concerning an applicant that were taken by a person as part of the process of rating an applicant;
 - (e) Materials used in examinations, including suggested answers for oral examinations;

- (f) Records and files maintained by an employee assistance program offered by the State of Nevada;
- (g) Reports by employers, appointing authorities or law enforcement officials concerning the hiring, promotion or background of applicants, eligible persons or employees;
- (h) The class title and agency of an employee whose name is excluded from the official roster, as provided in subsection 3 of NAC 284.714, when an inquiry concerning the employee is received;
- (i) Any information contained on a person's application or relating to his status as an eligible person; and
- (j) Information in the file or record of employment of a current or former employee which relates to [his:] the employee's:
 - (1) Performance;
 - (2) Conduct, including any disciplinary actions taken against [him;] the employee;
 - (3) Usage or balance of his or her annual leave and sick leave;
 - (4) Race, ethnic identity or affiliation, sex, disability or date of birth;
 - $\frac{(4)}{(5)}$ Home telephone number; or
 - (5) (6) Social security number.
- 2. If the employee has requested that his personal mailing address be listed as confidential, his file must be so designated and list his business address.
- 3. The name of any beneficiary of an employee contained in the payroll document must not be released to anyone unless:
 - (a) The employee dies; or
 - (b) The employee signs a release.

- 4. Any records in the possession of the Committee on Catastrophic Leave created pursuant to NRS 284.3627 that reveal the health, medical condition or disability of a current or former employee or a member of his immediate family are confidential.
- 5. Any notes, records, recordings or findings of an investigation conducted by the Department of Personnel relating to sexual harassment or discrimination, or both, and any findings of such an investigation that are provided to an appointing authority are confidential.
 - **Sec. 2.** NAC 284.726 is hereby amended to read as follows:
- 284.726 1. Except as otherwise provided in this subsection, access to materials for an examination and information relating to an applicant or eligible person which are relevant to an appointing authority's decision to hire that person is limited to the appointing authority or his designated representative. If the name of the applicant is not disclosed and the information is used for the purposes of subparagraph 2 of paragraph (a) of subsection 1 of NAC 284.204, information relating to the education and experience of an applicant may be made available to any affected applicant, employee or the designated representative of either.
- 2. Except as otherwise provided in [subsection 3,] subsections 3 and 4, access to an employee's file of employment containing any of the items listed in paragraphs (g) to (j), inclusive, of subsection 1 of NAC 284.718 is limited to:
 - (a) The employee.
- (b) The employee's representative when a signed authorization from the employee is presented or is in his employment file.
- (c) The appointing authority or a designated representative of the agency by which the employee is employed.
 - (d) The Director or his designated representative.

- (e) An appointing authority, or his designated representative, who is considering the employee for employment in his agency.
 - (f) Persons who are authorized pursuant to any state or federal law or an order of a court.
- (g) The State Board of Examiners if the Board is considering a claim against the State of Nevada filed pursuant to chapter 41 of NRS which involves the employee.
- (h) Persons who are involved in processing records for the transaction of business within and between state agencies.
- (i) Persons who are involved in processing records for the transaction of business that is authorized by the employee.
- 3. Information concerning the health, medical condition or disability of an employee or a member of his immediate family must be kept separate from the employee's file in a locked cabinet. Except as otherwise provided in subsection [6,] 7, access to such information is limited to the employee, his current supervisor, and the appointing authority or his designated representative.
- 4. Except as otherwise provided in subsection 7, access to information concerning the employee's usage or balance of annual leave and sick leave is limited to the employee, the employee's immediate supervisor and the employee's appointing authority or the designated representative of the appointing authority.
- 5. Except as otherwise provided by specific statute, records maintained by an employee assistance program offered by the State of Nevada must not be released without written permission signed by the employee to whom the records pertain.

- [5.] 6. Upon request, the Department of Personnel will provide the personal mailing address of any employee on file with the Department to the State Controller's Office and the Internal Revenue Service.
- [6.] 7. The Director or the appointing authority, or his designated representative, shall authorize the release of any confidential records under his control which are requested by the *Employee-Management* Committee, a hearings officer, the Commission, the Committee on Catastrophic Leave created pursuant to NRS 284.3627, the Nevada Equal Rights Commission or a court. If the Director or his designated representative determines that the release of any confidential record is not necessary for those purposes, the decision may be appealed.

NOTICE OF ADOPTION OF PROPOSED REGULATION LCB File No. R065-09

The Personnel Commission adopted regulations which pertain to chapter 284 of the Nevada Administrative Code on September 25, 2009.

INFORMATIONAL STATEMENT

The following statement is submitted for amendments to Nevada Administrative Code (NAC) 284.

1. A description of how public comment was solicited, a summary of public response, and an explanation how other interested persons may obtain a copy of the summary.

Copies of the proposed regulation, notice of workshop, and notices of intent to act upon a regulation were sent by email to persons who were known to have an interest in the subject of proposed personnel regulation changes as well as any person who had specifically requested such notice. These documents were also made available on the website of the Department of Personnel (DOP), http://dop.nv.gov/, mailed to all county libraries in Nevada and posted at the following locations:

Department of Personnel Grant Sawyer State Office Bldg.

Blasdel Building 555 E. Washington Blvd

209 E. Musser St Suite 4401

Carson City, NV 89701 Las Vegas, NV 89101

Nevada State Library and Archives Capitol Building 100 Stewart St Main Floor

Carson City, NV 89701 Carson City, NV 89701

Legislative Building Gaming Control Board
401 S. Carson St 1919 College Parkway

Carson City, NV 89701 Carson City, NV 89701

Attached are excerpts from the minutes from the workshop that apply to these changes.

A public hearing by the Nevada Personnel Commission was held on September 25, 2009. There were no comments received from the public.

The number of persons who:

- (a) Attended each hearing: September 25, 2009, Personnel Commission meeting (hearing), 49 attendees
- **(b) Testified at each hearing:** September 25, 2009, Personnel Commission meeting, 0 testified
- (c) Submitted written comments: None

2. A description of how comment was solicited from businesses, a summary of their response, and an explanation how other interested persons may obtain a copy of the summary.

Comments were not solicited, as the regulation does not affect businesses.

3. If the regulation was adopted without changing any part of the proposed regulation, a summary of the reasons for adopting the regulation without change.

No comments opposed to this regulation were received.

- 4. The estimated economic effect of the regulation on the business which it is to regulate and on the public. These must be stated separately, and each case must include:
 - (a) Both adverse and beneficial effects; and
 - **(b)** Both immediate and long-term effects.

This regulation does not have a direct economic effect on either a regulated business or the public.

5. The estimated cost to the agency for enforcement of the adopted regulation:

No direct cost is anticipated to the Department of Personnel for the enforcement of this regulation.

6. A description of any regulations of other State or governmental agencies which the regulation overlaps or duplicates and a statement explaining why the duplication or overlap is necessary. If the regulation overlaps or duplicates a federal regulation, the name of the regulating federal agency.

This regulation does not overlap or duplicate any other State or governmental agency regulation. It adds leave balances to the list of confidential records, which is consistent with the Americans with Disabilities Act and the Family and Medical Leave Act.

7. If the regulation includes provisions that are more stringent than a federal regulation that regulates the same activity, a summary of such provisions.

This regulation does not include any provisions that are more stringent than any federal regulation.

8. If the regulation provides a new fee or increases an existing fee, the total annual amount the agency expects to collect and the manner in which the money will be used.

No fees are associated with this regulation.

This regulation is specific to State government agencies and has no impact on small busines				
	-	-	-	

Is the proposed regulation likely to impose a direct and significant economic burden

9.