## ADOPTED REGULATION OF THE

## **SECRETARY OF STATE**

## LCB File No. R068-11

Effective December 30, 2011

EXPLANATION - Matter in *italics* is new; matter in brackets [omitted material] is material to be omitted.

AUTHORITY: §1, NRS 77.290; §2, NRS 78.026; §3, NRS 78A.013; §4, NRS 80.0045; §5, NRS 81.0027; §6, NRS 82.525; §7, NRS 84.007; §8, NRS 86.557; §9, NRS 87.5445; §10, NRS 87A.260; §11, NRS 88.336; §12, NRS 88A.890; §13, NRS 89.023; §14, NRS 92A.207.

A REGULATION relating to electronic filing of records; adopting provisions governing the electronic filing of records with the Secretary of State; and providing other matters properly relating thereto.

- **Section 1.** Chapter 77 of NAC is hereby amended by adding thereto a new section to read as follows:
- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 77 of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 77 of NRS; and

- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or
- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:
- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 77 of NRS.
- **Sec. 2.** Chapter 78 of NAC is hereby amended by adding thereto a new section to read as follows:

- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 78 of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 78 of NRS; and
- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or
- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:

- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 78 of NRS.
- **Sec. 3.** Chapter 78A of NAC is hereby amended by adding thereto a new section to read as follows:
- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 78A of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 78A of NRS; and
- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or

- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:
- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 78A of NRS.
- **Sec. 4.** Chapter 80 of NAC is hereby amended by adding thereto a new section to read as follows:
- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 80 of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 80 of NRS; and

- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or
- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:
- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 80 of NRS.
- **Sec. 5.** Chapter 81 of NAC is hereby amended by adding thereto a new section to read as follows:

- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 81 of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 81 of NRS; and
- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or
- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:

- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 81 of NRS.
- **Sec. 6.** Chapter 82 of NAC is hereby amended by adding thereto a new section to read as follows:
- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 82 of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 82 of NRS; and
- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or

- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:
- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 82 of NRS.
- **Sec. 7.** Chapter 84 of NAC is hereby amended by adding thereto a new section to read as follows:
- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 84 of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 84 of NRS; and

- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or
- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:
- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 84 of NRS.
- **Sec. 8.** Chapter 86 of NAC is hereby amended by adding thereto a new section to read as follows:

- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 86 of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 86 of NRS; and
- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or
- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:

- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 86 of NRS.
- **Sec. 9.** Chapter 87 of NAC is hereby amended by adding thereto a new section to read as follows:
- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 87 of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 87 of NRS; and
- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or

- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:
- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 87 of NRS.
- **Sec. 10.** Chapter 87A of NAC is hereby amended by adding thereto a new section to read as follows:
- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 87A of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 87A of NRS; and

- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or
- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:
- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 87A of NRS.
- **Sec. 11.** Chapter 88 of NAC is hereby amended by adding thereto a new section to read as follows:

- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 88 of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 88 of NRS; and
- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or
- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:

- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 88 of NRS.
- **Sec. 12.** Chapter 88A of NAC is hereby amended by adding thereto a new section to read as follows:
- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 88A of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 88A of NRS; and
- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or

- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:
- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 88A of NRS.
- **Sec. 13.** Chapter 89 of NAC is hereby amended by adding thereto a new section to read as follows:
- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 89 of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 89 of NRS; and

- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or
- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:
- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 89 of NRS.
- **Sec. 14.** Chapter 92A of NAC is hereby amended by adding thereto a new section to read as follows:

- 1. The Secretary of State may develop or approve an electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 92A of NRS.
- 2. If the Secretary of State has developed or approved an electronic process and the electronic process is in place and available for use in the Office of the Secretary of State:
- (a) A person may use the electronic process to file with the Secretary of State any record authorized or required to be filed with the Secretary of State pursuant to chapter 92A of NRS; and
- (b) The Secretary of State will accept a record filed with the Secretary of State through the use of the electronic process if the record contains all the information required for filing and is accompanied by the appropriate fee and any applicable penalty.
- 3. The Secretary of State may reject a record filed with the Secretary of State through the use of an electronic process developed or approved by the Secretary of State if:
  - (a) The statutory requirements for filing the record have not been satisfied;
  - (b) The appropriate fee has not been submitted;
  - (c) The information contained in the record has been corrupted in any manner; or
- (d) The record contains a virus or may otherwise compromise the security of the electronic process.
- 4. Failure by the Secretary of State to receive a record filed through the use of an electronic process does not relieve the person who attempted to file the record from any requirement to file the record, pay the appropriate fee or incur an applicable penalty.
  - 5. As used in this section:

- (a) "Electronic filing" means the submission of records to the Secretary of State by an electronic process and the processing of such records by the Secretary of State by an electronic process.
- (b) "Electronic process" means any electronic process for the electronic filing of records authorized or required to be filed with the Secretary of State pursuant to chapter 92A of NRS.

## LEGISLATIVE REVIEW OF ADOPTED REGULATIONS AS REQUIRED BY NRS 233B.066 LCB File No. R068-11

The following statement is submitted for adopted amendments to Nevada Administrative Code (NAC) Chapters 77, 78, 78A, 80, 81, 82, 84, 86, 87, 88, 88A and 92A.

- 1) Public comments were solicited at the December 16, 2011 public workshop to solicit comments on proposed regulations and the December 23, 2011 hearing for the adoption of regulations. Copies of the proposed regulations, notices of workshop and notices of intent to act upon the regulations were sent via fax and email to persons known to have an interest in the subject of electronic filings. These documents were also made available on the Secretary of State website, www.NVSOS.gov and posted at the following locations:
  - The Capitol Building, 101 North Carson Street, Carson City, Nevada 89701
  - The State Library, 100 North Stewart Street, Carson City, Nevada 89701
  - The Secretary of State-Reno, 500 Damonte Ranch Pkwy, Suite 657-A, Reno, Nevada 89521
  - The Grant Sawyer Building, 555 East Washington Avenue, Las Vegas, Nevada 89101
  - Nevada State Legislative Building, 401 South Carson Street, Carson City, Nevada 89701

The minutes of the December 16, 2011 public workshop to solicit comments on proposed regulations and the December 23, 2011 hearing for the adoption of regulations are attached hereto and contain a summary of the brief discussion held regarding the proposed amendments. A copy of the workshop and hearing minutes may be obtained from the office of the Secretary of State, 101 N. Carson Street, Suite 3, Carson City, Nevada 89701, 775-684-5720 or via email sent to **scotta@sos.nv.gov**.

- 2) The number persons who:
- (a) Attended the December 16, 2011 public workshop: 3
- (b) Testified at the December 16, 2011 public workshop: 1
- (c) Submitted to the agency written comments: 1
- (d) Attended the December 23, 2011 hearing for the adoption of regulations:
- 3) Comments were solicited from affected businesses in the same manner as they were solicited from the public.
- 4) The permanent regulation was adopted on December 23, 2011 and included no changes to the LCB version of the proposed regulation, as public comment submitted at the December 16, 2011 public workshop to solicit comments on proposed regulations were related to the agency version of the proposed regulation. The LCB version, which was the version discussed at the workshop, addressed the concerns received.
- 5) There is no estimated economic effect of the adopted regulation on the businesses which it is to regulate and on the public.

- 6) There is no additional cost to the agency for enforcement of this regulation.
- 7) There are no other state or government agency regulations that the proposed amendments duplicate.
- 8) The proposed regulation does not include provisions that are more stringent than a federal regulation which regulates the same activity, a summary of such provisions.
- 9) The proposed regulation does not involve a new fee or increase an existing fee.
- 10) The proposed regulation is not likely to impose a direct and significant economic burden upon a small business or directly restrict the formation, operation or expansion of a small business.